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EUGENE MALISZEWSKYJ
ENGINEERING CONSULTANT

ACCEPTED/FILED

OCT 18 2013

Federal Communications Commission
Office of the Secretary

WRITER'S CONTACT INFORMATION
gjd@bloostonlaw.com
202-828-5528

REDACTED – FOR PUBLIC INSPECTION

VIA HAND DELIVERY AND ECFS

Marlene H. Dortch, Secretary
Federal Communications Commission
Office of the Secretary
445 12th Street, S.W.
Washington, DC 20554

**RE: Form 481 – Carrier Annual Reporting Data Collection Form
WC Dockets No. 10-90 and 11-42**

Dear Ms. Dortch:

Pursuant to sections 54.313(i) and 54.422(c) of the Commission's Rules[1] and the Commission's *Public Notice*[2] and *Protective Order*[3] in this proceeding, Smithville Communications, Inc. ("the Company") hereby submits two copies of its "FCC Form 481 – Carrier Annual Reporting Data Collection Form," which was timely filed with the Universal Service Administrative Company and the appropriate state commission on or before October 15, 2013, and which includes a Redacted Confidential Document containing proprietary and confidential financial information that has been obscured.

The Company seeks confidential treatment under the *Protective Order* for the financial

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[1] 47 CFR §§54.313 and 54.422.
[2] *Wireline Competition Bureau Announces Filing Deadline of October 15, 2013 for Eligible Telecommunications Carriers to File High-Cost and Low-Income Annual Reports,* PUBLIC NOTICE, WC Dockets No. 10-90 and 11-42, DA 13-1707, released August 6, 2013.
[3] *In the Matter of Connect America Fund, et al.,* PROTECTIVE ORDER, WC Docket No. 10-90, et al., DA 12-

No. of Copies rec'd 0+1
List ABCDE

information included in its report pursuant to §54.313(f)(2). Confidential treatment of this information is appropriate on the grounds that it is commercially sensitive information that is not normally released to the public. The Company is also submitting a copy of its FCC Form 481 including the Redacted Confidential Document via the Electronic Comment Filing System, as directed by the Public Notice.

Due to temporary closure of the Commission's filing window, mail room, and electronic filing systems beginning October 1, 2013, this filing is being submitted on the business day following the day of return to normal operations in accordance with the Commission's Public Notice on filing procedures in the event of a lapse in funding.[4] If you have any questions regarding this matter, please contact undersigned counsel.

Respectfully submitted,

Gerard J. Duffy

Filed: OCT 18 2013

1857, released November 16, 2013.

[4] *Procedures for Filings in the Event of a Lapse in Funding*, PUBLIC NOTICE, released October 1, 2013.

FCC Form 481
OMB 3060-0986
OMB 3060-0819
Avg. Burden Estimate per Respondent: 20 Hours

FCC Form 481 - Carrier Annual Reporting Data Collection Form

<010> Study Area Code 320818

<015> Study Area Name Smithville Communications Inc.

<020> Program Year 2014

<030> Contact Name: Person USAC should contact with questions about this data Stephanie Wall

<035> Contact Telephone Number: Number of the person identified in data line <030> 812-935-2215

<039> Contact Email: Email of the person identified in data line <030> stephanie.wall@smithville.net

ANNUAL REPORTING FOR ALL CARRIERS

Line	Item	Value	Instruction	54.313 Completion Required	54.422 Completion Required
				(check box when complete)	
<100>	Service Quality Improvement Reporting		*(complete attached worksheet)*	✓	
<200>	Outage Reporting (voice)		*(complete attached worksheet)*		
<210>	✓ <-- check box if no outages to report				
<300>	Unfulfilled Service Requests (voice)	0		✓	
<310>	Detail on Attempts (voice)		*(attach descriptive document)*		
<320>	Unfulfilled Service Requests (broadband)	0			
<330>	Detail on Attempts (broadband)	N/A	*(attach descriptive document)*		
<400>	Number of Complaints per 1,000 customers (voice)			✓	
<410>	Fixed	0			
<420>	Mobile	0			
	Number of Complaints per 1,000 customers (broadband)				
<440>	Fixed	0			
<450>	Mobile	0			
<500>	Service Quality Standards & Consumer Protection Rules Compliance		*(check to indicate certification)*	✓	
<510>			*(attached descriptive document)*	✓	
<600>	Functionality in Emergency Situations		*(check to indicate certification)*	✓	
<610>			*(attached descriptive document)*	✓	
<700>	Company Price Offerings (voice)		*(complete attached worksheet)*	N/A	
<710>	Company Price Offerings (broadband)		*(complete attached worksheet)*	N/A	
<800>	Operating Companies and Affiliates		*(complete attached worksheet)*	✓	
<900>	Tribal Land Offerings (Y/N)?		*(if yes, complete attached worksheet)*	N/A	
<1000>	Voice Services Rate Comparability		*(check to indicate certification)*	N/A	
<1010>			*(attach descriptive document)*	N/A	
<1100>	Terrestrial Backhaul (Y/N)?		*(if not, check to indicate certification)*	N/A	
<1110>			*(complete attached worksheet)*	N/A	
<1200>	Terms and Condition for Lifeline Customers		*(complete attached worksheet)*		✓

Price Cap Carriers, Proceed to Price Cap Additional Documentation Worksheet
Including Rate-of-Return Carriers affiliated with Price Cap Local Exchange Carriers

Line	Instruction	54.313	54.422
<2000>	*(check to indicate certification)*	N/A	
<2005>	*(complete attached worksheet)*	N/A	

Rate of Return Carriers, Proceed to ROR Additional Documentation Worksheet

Line	Instruction	54.313	54.422
<3000>	*(check to indicate certification)*	✓	
<3005>	*(complete attached worksheet)*	✓	

(100) Service Quality Improvement Reporting
Data Collection Form

FCC Form 481
OMB Control No. 3060-0986
OMB Control No. 3060-0819
July 2013

<010>	Study Area Code 320818	
<015>	Study Area Name Smithville Communications Inc.	
<020>	Program Year 2014	
<030>	Contact Name - Person USAC should contact regarding this data	Stephanie Wall
<035>	Contact Telephone Number - Number of person identified in data line <030>	812-935-2215
<039>	Contact Email Address - Email Address of person identified in data line <030>	stephanie.wall@smithville.net
<110>	Has your company received its ETC certification from the FCC?	(yes / no)
<111>	If your answer to Line <110> is yes, do you have an existing §54.202(a) "5 year plan" filed with the FCC?	(yes / no)

If your answer to Line <111> is yes, then you are required to file a progress report, on line <112> delineating the status of your company's existing § 54.202(a) "5 year plan" on file with the FCC, as it relates to your provision of voice telephony service.

<112> Attach Five-Year Service Quality Improvement Plan or, in subsequent years, your annual progress report filed pursuant to 47 C.F.R. § 54.313(a)(1). If your company is a CETC which receives only frozen support, your progress report is only required to address voice telephony service

Name of Attached Document (.pdf)

Please check these boxes below to confirm that the attached PDF, on line 112, contains a progress report on its five-year service quality improvement plan pursuant to § 54.202(a). The information shall be submitted at the wire center level or census block as appropriate.

<113>	Maps detailing progress towards meeting plan targets	☐
<114>	Report how much universal service (USF) support was received	☐
<115>	How (USF) was used to improve service quality	☐
<116>	How (USF)was used to improve service coverage	☐
<117>	How (USF) was used to improve service capacity	☐
<118>	Provide an explanation of network improvement targets not met in the prior calendar year.	☐

(200) Service Outage Reporting (Voice)
Data Collection Form

FCC Form 481
OMB Control No. 3060-0986
OMB Control No. 3060-0819
July 2013

<010>	Study Area Code	320818
<015>	Study Area Name	Smithville Communications Inc.
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	Stephanie Wall
<035>	Contact Telephone Number - Number of person identified in data line <030>	812-935-2215
<039>	Contact Email Address - Email Address of person identified in data line <030>	stephanie.wall@smithville.net

<220>

<a>	<b1>	<b2>	<b3>	<b4>	<c1>	<c2>	<d>	<e>	<f>	<g>	<h>
NORS Reference Number	Outage Start Date	Outage Start Time	Outage End Date	Outage End Time	Number of Customers Affected	Total Number of Customers	911 Facilities Affected (Yes / No)	Service Outage Description (Check all that apply)	Did This Outage Affect Multiple Study Areas (Yes / No)	Service Outage Resolution	Preventative Procedures

(800) Operating Companies and Affiliates
Data Collection Form

FCC Form 481
OMB Control No. 3060-0986
OMB Control No. 3060-0819
July 2013

<010>	Study Area Code	320818
<015>	Study Area Name	Smithville Communications Inc.
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	Stephanie Wall
<035>	Contact Telephone Number - Number of person identified in data line <030>	812-935-2215
<039>	Contact Email Address - Email Address of person identified in data line <030>	stephanie.wall@smithville.net

<810>	Reporting Carrier	Smithville Communications Inc.
<811>	Holding Company	Smithville Holding Inc.
<812>	Operating Company	Smithville Communications Inc.

<813>

<a1> Affiliates	<a2> SAC	<a3> Doing Business As Company or Brand Designation
Smithville Telecom LLC		

(1110) No Terrestrial Backhaul Reporting
Data Collection Form

FCC Form 481
OMB Control No. 3060-0986
OMB Control No. 3060-0819
July 2013

<010>	Study Area Code	320818
<015>	Study Area Name	Smithville Communications Inc.
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	Stephanie Wall
<035>	Contact Telephone Number - Number of person identified in data line <030>	812-935-2215
<039>	Contact Email Address - Email Address of person identified in data line <030>	stephanie.wall@smithville.net

<1120>	Please check this box to confirm no terrestrial backhaul options exist within the supported area pursuant to § 54.313(G)	☑
<1130>	Please check this box to confirm the reporting carrier offers broadband service of at least 1 Mbps downstream and 256 kbps upstream within the supported area pursuant to § 54.313(G)	☐

(1200) Terms and Condition for Lifeline Customers
Lifeline

Data Collection Form

FCC Form 481
OMB Control No. 3060-0986
OMB Control No. 3060-0819
July 2013

<010>	Study Area Code	320818
<015>	Study Area Name	Smithville Communications Inc.
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	Stephanie Wall
<035>	Contact Telephone Number - Number of person identified in data line <030>	812-935-2215
<039>	Contact Email Address - Email Address of person identified in data line <030>	stephanie.wall@smithville.net

<1210> Terms & Conditions of Voice Telephony Lifeline Plans

Name of attached document (.pdf)

<1220> Link to Public Website HTTP ://www.smithville.net

Please check these boxes below to confirm that the attached PDF, on line 1210, or the website listed, on line 1220, contains the required information pursuant to § 54.422(a)(2) annual reporting for ETCs receiving low-income support, carriers must annually report:

<1221> Information describing the terms and conditions of any voice telephony service plans offered to Lifeline subscribers, ☑

<1222> Details on the number of minutes provided as part of the plan, ☑

<1223> Additional charges for toll calls, and rates for each such plan. ☑

(3005) Rate Of Return Carrier Additional Documentation
Data Collection Form

FCC Form 481
OMB Control No. 3060-0986
OMB Control No. 3060-0819
July 2013

<010>	Study Area Code	320818	
<015>	Study Area Name	Smithville Communications Inc.	
<020>	Program Year	2014	
<030>	Contact Name - Person USAC should contact regarding this data		Stephanie Wall
<035>	Contact Telephone Number - Number of person identified in data line <030>		812-935-2215
<039>	Contact Email Address - Email Address of person identified in data line <030>		stephanie.wall@smithville.net

CHECK the boxes below to note compliance on its five year service quality plan (pursuant to 47 CFR § 54.202(a)) and, for privately held carriers, ensuring compliance with the financial reporting requirements set forth in 47 CFR § 54.313(f)(2). I further certify that the information reported on this form and in the documents attached below is accurate.

Progress Report on 5 Year Plan

(3010)	Milestone Certification (47 CFR § 54.313(f)(1)(i))	Name of Attached Document Listing Required Information	
(3011)	Please check this box to confirm that the attached PDF, on line 3012, contains the required information pursuant to § 54.313 (f)(1)(ii), as a recipient of CAF Phase II support shall provide the number, names, and addresses of community anchor institutions to which began providing access to broadband service in the preceding calendar year.		[ ]
(3012)	Community Anchor Institutions (47 CFR § 54.313(f)(1)(ii))	Name of Attached Document Listing Required Information	
(3013)	Is your company a Privately Held ROR Carrier (47 CFR § 54.313(f)(2))		Y (Yes/No)
(3014)	If yes, does your company file the RUS annual report		Y (Yes/No)
	Please check these boxes to confirm that the attached PDF, on line 3017, contains the required information pursuant to § 54.313(f)(2) compliance requires:		
(3015)	Electronic copy of their annual RUS reports (Operating Report for Telecommunications Borrowers)		Y
(3016)	PDF of Balance Sheet, Income Statement and Statement of Cash Flows		Y
(3017)	If the response is yes on line 3014, attach your company's RUS annual report and all required documentation	Name of Attached Document Listing Required Information	320818in3017
(3018)	If the response is no on line 3014, Is your company audited?		[ ] (Yes/No)
	If the response is yes on line 3018, please check the boxes below to confirm your submission, on line 3026 pursuant to § 54.313(f)(2), contains :		
(3019)	Either a copy of their audited financial statement; or (2) a financial report in a format comparable to RUS Operating Report for Telecommunications		[ ]
(3020)	PDF of Balance Sheet, Income Statement and Statement of Cash Flows		[ ]
(3021)	Management letter issued by the independent certified public accountant that performed the company's financial audit.		[ ]
	If the response is no on line 3018, please check the boxes below to confirm your submission, on line 3026 pursuant to § 54.313(f)(2), contains:		
(3022)	Copy of their financial statement which has been subject to review by an independent certified public accountant; or 2) a financial report in a format comparable to RUS Operating Report for Telecommunications Borrowers,		[ ]
(3023)	Underlying information subjected to a review by an independent certified public accountant		[ ]
(3024)	Underlying information subjected to an officer certification.		[ ]
(3025)	PDF of Balance Sheet, Income Statement and Statement of Cash Flows		[ ]
(3026)	Attach the worksheet listing required information	Name of Attached Document Listing Required Information	

Certification - Reporting Carrier Data Collection Form	FCC Form 481 OMB Control No. 3060-0986 OMB Control No. 3060-0819 July 2013

<010>	Study Area Code	320818
<015>	Study Area Name	Smithville Communications Inc.
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	Stephanie Wall
<035>	Contact Telephone Number - Number of person identified in data line <030>	812-935-2215
<039>	Contact Email Address - Email Address of person identified in data line <030>	stephanie.wall@smithville.net

TO BE COMPLETED BY THE REPORTING CARRIER, IF THE REPORTING CARRIER IS FILING ANNUAL REPORTING ON ITS OWN BEHALF:

I certify that I am an officer of the reporting carrier; my responsibilities include ensuring the accuracy of the annual reporting requirements for universal service support recipients; and, to the best of my knowledge, the information reported on this form and in any attachments is accurate.

Name of Reporting Carrier: Smithville Communications, Inc.

Signature of Authorized Officer: Date 10/4/13

Printed name of Authorized Officer: Darby A. McCarty

Title or position of Authorized Officer: President

Telephone number of Authorized Officer: 812-876-2211

Study Area Code of Reporting Carrier: [redacted] 320818 Filing Due Date for this form: 10/15/2013

Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.

FCC Form 481
Line 510 – Description of Compliance with Service Quality Standards and Consumer Protection

Smithville Communications Inc. maintains compliance with service quality standards through the use of a variety of tools, including:

1. daily, weekly, and monthly detailed service quality reports;
2. ongoing employee service quality training;
3. regular follow-up inspections of service installation and repairs;
4. proactive maintenance programs;
5. installation of the latest technologies, such as fiber and IP-based equipment;
6. extensive network monitoring equipment; and,
7. 24/7/365 service repair availability

Smithville Communications Inc. maintains compliance with consumer protection rules through the use of a variety of tools, including:

1. ongoing employee training on consumer protection rules;
2. working with industry experts to identify and incorporate consumer protection best practices into our processes;
3. automated systems using the latest security measures to protect sensitive data;
4. monitoring of customer service calls with customers; and,
5. regular updates to senior management on consumer protection activities

Annual Reporting for High-Cost Recipients
46 C.F.R. §54.313 (a)(2) through (a)(6) and (h)
Smithville Communications, Inc.

§54.131(a)(5)-COMPLIANCE WITH SERVICE QUALITY STANDARDS AND CONSUMER PROTECTION RULES

Service Quality Standards and Consumer Protection Rules Annual Certification

Darby A. McCarty	President	Smithville Communications, Inc.
Printed Name of Officer	Title of Officer	Company Name

I am authorized to provide this certification on behalf of the Company. I hereby certify that the Company is in compliance with applicable service quality standards and consumer protection rules.

Executed on 10/3/2013
Date

Signature Darby A. McCarty

Printed/Typed Name Darby A. McCarty

Smithville™

Ability to Function in Emergency Situations 47 C.F.R. §54.313(a)(6)

Smithville Telephone Company, Inc., dba: Smithville Communication ("Company") hereby certifies that it is able to function in emergency situations as set forth in the Code of Federal Regulations, Title 47, Part 54, Subpart C, §54.202(a)(2). The Company's network is designed to remain functional in emergency situations without an external power source, is able to reroute traffic around damaged facilities, and is capable of managing traffic spikes resulting from emergency situations as required by Section 54.202(a)(2). The Company can change call routing translations as needed to reroute traffic around damaged facilities. Changing call routing translations will also allow the Company to manage traffic spikes throughout its network, as emergency situations require.

Each central office building is supplied with standby generators and battery reserve that enable it to keep running until power is restored so long as fuel is available, or until system changes are made to reroute traffic. The Company has battery backup at all office locations and in its electronic equipment sites and has a maintenance program in place. For remotes and cabinets without generators, a plan is in place to recharge the battery plants with portable generators.

In the event of a fiber cut or equipment failure, the voice and data network is designed to provide automatic rerouting of traffic through the use of rings. The Company has two data centers that provide redundancy for critical servers. Multiple upstream connections provides survivability in the event of network or fiber disruptions outside the Company's network.

Critical core production data (billing, customer, and plant) on the Company's AS400 is saved nightly on tape and stored onsite in a fireproof (Fire King) file cabinet. This data is also copied nightly to an AS400 at the Company's disaster recovery location. The entire AS400

1600 W. Temperance St. Ellettsville, Indiana 47429 Phone 812-876-2211 Fax 812-876-9267 www.smithville.net

system is saved weekly and physically moved offsite to a fireproof file cabinet. All other network data is backed up to tape on a nightly, weekly and monthly basis. Backup tapes are rotated offsite to a fireproof file cabinet. In addition, there is a one-way replication from the production network to the Company's disaster recovery network daily.

1600 W. Temperance St. Ellettsville, Indiana 47429 Phone 812-876-2211 Fax 812-876-9267 www.smithville.net

Annual Reporting for High-Cost Recipients
47 C.F.R. §54.313(a)(2) through (a)(6) and (h)
Smithville Communications Inc.

§54.313(a)(6)-ABILITY TO FUNCTION IN EMERGENCY SITUATIONS

Ability to Function in Emergency Situations Annual Certification

Darby A. McCarty	President	Smithville Communications, Inc.
Printed Name of Officer	Title of Officer	Company Name

I am authorized to provide this certification on behalf of the Company. I hereby certify that the Company is capable of functioning in emergency situations. The Company has a reasonable amount of back-up power to ensure functionality without an external power source, is able to reroute traffic around damaged facilities, and is capable of managing traffic spikes resulting from emergency situations.

Executed on 10/3/2013
Date

Signature Darby A. McCarty

Printed/Typed Name Darby A. McCarty

REDACTED-FOR PUBLIC INSPECTION

USDA Rural Development

United States Department of Agriculture
Rural Development Utilities Programs - Data Collection System

HOME HELP PRINT LOGOUT CONTACT US

NAVIGATION

Part A: Balance Sheet

Your response is required by 7 U.S.C. 901 et seq. and subject to federal laws and regulations regarding confidential information, will be treated as confidential.
Complete the following fields, and press the 'Save' button when finished.
The Balance Prior Year figures have been brought forward from the December 2010 submission and cannot be edited here. If these figures need to be corrected please revise them in that submission and resubmit.

ASSETS	Balance Prior Year	Balance End of Period
CURRENT ASSETS		
1. Cash and Equivalents	[redacted]	[redacted]
2. Cash-RUS Construction Fund	[redacted]	[redacted]
3. Affiliates: a. Telecom. Accounts Receivable		
b. Other Accounts Receivable	[redacted]	[redacted]
c. Notes Receivable		
4. Non-Affiliates: a. Telecom. Accounts Receivable	[redacted]	[redacted]
b. Other Accounts Receivable		
c. Notes Receivable		
5. Interest and Dividends Receivable		
6. Material-Regulated	[redacted]	[redacted]
7. Material-Nonregulated		
8. Prepayments	[redacted]	[redacted]
9. Other Current Assets		
10. Total Current Assets (1 thru 9)	[redacted]	[redacted]
NONCURRENT ASSETS		
11. Investment in Affiliated Companies a. Rural Development		
b. Nonrural Development	[redacted]	[redacted]
12. Other Investments a. Rural Development		
b. Nonrural Development		
13. Nonregulated Investments	[redacted]	[redacted]
14. Other Noncurrent Assets	[redacted]	[redacted]
15. Deferred Charges		
16. Jurisdictional Differences		
17. Total Noncurrent Assets (11 thru 16)	[redacted]	[redacted]
PLANT, PROPERTY, AND EQUIPMENT		
18. Telecom. Plant-in-Service	[redacted]	[redacted]
19. Property Held for Future Use		
20. Plant Under Construction	[redacted]	[redacted]
21. Plant Adj., Nonop. Plant & Goodwill		
22. Less Accumulated Depreciation	[redacted]	[redacted]
23. Net Plant (18 thru 21 less 22)	[redacted]	[redacted]
24. Total Assets (10+17+23)	[redacted]	[redacted]

LIABILITIES AND STOCKHOLDERS' EQUITY	Balance Prior Year	Balance End of Period
CURRENT LIABILITIES		
25. Accounts Payable	[redacted]	[redacted]
26. Notes Payable		
27. Advance Billings and Payments	[redacted]	[redacted]
28. Customer Deposits		
29. Current Mat. L/T Debt	[redacted]	[redacted]
30. Current Mat. L/T Debt-Rur Dev		
31. Current Mat.-Capital Leases		
32. Income Taxes Accrued		
33. Other Taxes Accrued	[redacted]	[redacted]
34. Other Current Liabilities	[redacted]	[redacted]
35. Total Current Liabilities (25 thru 34)	[redacted]	[redacted]
LONG-TERM DEBT		
36. Funded Debt-RUS Notes	[redacted]	[redacted]
37. Funded Debt-RTB Notes		
38. Funded Debt-FFB Notes		
39. Funded Debt-Other		
40. Funded Debt-Rural Develop Loan		
41. Premium (Discount) on L/T Debt		
42. Reacquired Debt		
43. Obligations Under Capital Lease		
44. Adv. From Affiliated Companies		
45. Other Long-Term Debt	[redacted]	
46. Total Long-Term Debt (36 thru 45)	[redacted]	[redacted]
OTHER LIABILITIES & DEF. CREDITS		
47. Other Long-Term Liabilities	[redacted]	[redacted]
48. Other Deferred Credits		
49. Other Jurisdictional Differences		
50. Total Other Liabilities and Deferred Credits (47 thru 49)	[redacted]	[redacted]
EQUITY		
51. Cap. Stock Outstand. & Subscribed	[redacted]	[redacted]
52. Additional Paid-in Capital		
53. Treasury Stock		
54. Membership and Cap. Certificates		
55. Other Capital	[redacted]	[redacted]
56. Patronage Capital Credits		
57. Retained Earnings or Margins	[redacted]	[redacted]
58. Total Equity (51 thru 57)	[redacted]	[redacted]
59. Total Liabilities and Equity (35+46+50+58)	[redacted]	[redacted]

Total Equity = 44.10 **% of Total Assets**

Run Checks Save Next >

REDACTED-FOR PUBLIC INSPECTION

Part B: Statements of Income and Retained Earnings or Margins

Your response is required by 7 U.S.C. 901 et seq. and subject to federal laws and regulations regarding confidential information, will be treated as confidential.
Complete the following fields, and press the 'Save' button when finished.
The Prior Year figures have been brought forward from the December 2010 submission and cannot be edited here. If these figures need to be corrected please revise them in that submission and resubmit.

Item	Prior Year	This Year
1. Local Network Services Revenues	[redacted]	[redacted]
2. Network Access Services Revenues	[redacted]	[redacted]
3. Long Distance Network Services Revenues	[redacted]	[redacted]
4. Carrier Billing and Collection Revenues	[redacted]	[redacted]
5. Miscellaneous Revenues	[redacted]	[redacted]
6. Uncollectible Revenues	[redacted]	[redacted]
7. Net Operating Revenues (1 Thru 5 Less 6)	[redacted]	[redacted]
8. Plant Specific Operations Expense	[redacted]	[redacted]
9. Plant Nonspecific Operations Expense (Excluding Depreciation & Amortization)	[redacted]	[redacted]
10. Depreciation Expense	[redacted]	[redacted]
11. Amortization Expense		
12. Customer Operations Expense	[redacted]	[redacted]
13. Corporate Operations Expense	[redacted]	[redacted]
14. Total Operating Expenses (8 Thru 13)	[redacted]	[redacted]
15. Operating Income or Margins (7 less 14)	[redacted]	[redacted]
16. Other Operating Income and Expense		
17. State and Local Taxes	[redacted]	[redacted]
18. Federal Income Taxes		
19. Other Taxes		
20. Total Operating Taxes (17+18+19)	[redacted]	[redacted]
21. Net Operating Income or Margins (15+16-20)	[redacted]	[redacted]
22. Interest on Funded Debt	[redacted]	[redacted]
23. Interest Expense - Capital Leases		
24. Other Interest Expense		[redacted]
25. Allowance for Funds Used During Construction	[redacted]	[redacted]
26. Total Fixed Charges (22+23+24-25)	[redacted]	[redacted]
27. Nonoperating Net Income	[redacted]	[redacted]
28. Extraordinary Items		
29. Jurisdictional Differences		
30. Nonregulated Net Income	[redacted]	[redacted]
31. Total Net Income or Margins (21+27+28+29+30-26)	[redacted]	[redacted]
32. Total Taxes Based on Income	[redacted]	[redacted]
33. Retained Earnings or Margins Beginning-of-Year	[redacted]	[redacted]
34. Miscellaneous Credits Year-to-Date		
35. Dividends Declared (Common)		
36. Dividends Declared (Preferred)		
37. Other Debits Year-to-Date	[redacted]	[redacted]
38. Transfers to Patronage Capital		
39. Retained Earnings or Margins End-Of-Period [(31+33+34)-(35+36+37+38)]	[redacted]	[redacted]
40. Patronage Capital Beginning-of-Year		
41. Transfers to Patronage Capital		
42. Patronage Capital Credits Retired		
43. Patronage Capital End-Of-Year (40+41-42)	[redacted]	[redacted]
44. Debt Service Payments for the period(principal interest on long term debt)	[redacted]	[redacted]
45. Cash Ratio [(14+20-10-11) / 7]	[redacted]	[redacted]
46. Operating Accrual Ratio [(14+20+26) / 7]	[redacted]	[redacted]
47. TIER [(31+26) / 26]	[redacted]	[redacted]

REDACTED-FOR PUBLIC INSPECTION

49. DSCR [(31+26+10+11) / 44] [redacted] [redacted]

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(Rev. 12-05) Version 2.0.4392.20880

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USDA Rural Development

United States Department of Agriculture
Rural Development Utilities Programs - Data Collection System

Part C: Subscriber (Access Line), Route Mile, & High Speed Data Information

Your response is required by 7 U.S.C. 901 et seq. and subject to federal laws and regulations regarding confidential information, will be treated as confidential.

- To add a row, click the 'Add New Exchange' button
- To modify a row, double click the row.
- To delete a row, first highlight the row, then press the 'Delete' key on your keyboard
- To save the data, click the 'Save' button

	Exchange No. Exchanges	Subscribers (Access Lines) Total (c)	No. Access Lines with BB available (a)	No. of Broadband Subscribers (b)	Total (including fiber) (a)
1	Ellettsville	[redacted]	[redacted]	[redacted]	[redacted]
2	Stanford	[redacted]	[redacted]	[redacted]	[redacted]
3	Sharpsville	[redacted]	[redacted]	[redacted]	[redacted]
4	Griffin	[redacted]	[redacted]	[redacted]	[redacted]
5	Gosport	[redacted]	[redacted]	[redacted]	[redacted]
6	Hymera	[redacted]	[redacted]	[redacted]	[redacted]
7	Lizton	[redacted]	[redacted]	[redacted]	[redacted]
8	Lyons	[redacted]	[redacted]	[redacted]	[redacted]
9	Lake Monroe	[redacted]	[redacted]	[redacted]	[redacted]
10	Owensburg	[redacted]	[redacted]	[redacted]	[redacted]
11	Smithville	[redacted]	[redacted]	[redacted]	[redacted]
12	French Lick	[redacted]	[redacted]	[redacted]	[redacted]
13	Mobile Wireless				
14	Outside Exchange Area				

Total	[redacted]	[redacted]	[redacted]	[redacted]

Add New Exchange

< Previous   Run Checks   Save   Next >

REDACTED-FOR PUBLIC INSPECTION

USDA Rural Development — United States Department of Agriculture
Rural Development Utilities Programs - Data Collection System

HOME HELP PRINT LOGOUT CONTACT US

[illegible] - SMITHVILLE COMMUNICATIONS, INC. (IN0502) - OP REPORT TELECOM - DECEMBER 2011

NAVIGATION

Part D: System Data

Your response is required by 7 U.S.C. 901 et seq. and subject to federal laws and regulations regarding confidential information, will be treated as confidential.

Complete the following fields, and press the 'Save' button when finished.

1. No. Plant Employees	2. No. Other Employees	3. Square Miles Served	4. Access Lines per Square Mile	5. Subscribers per Route Mile
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]

< Previous   Run Checks   Save   Next >

All errors must be corrected and all warnings must be explained before the form can be submitted for review.

Part D: System Data

Type	Check Key	Description	Explanation
No Errors or Warnings			

Cross Checks

Type	Check Key	Description	Explanation
No Errors or Warnings			

REDACTED-FOR PUBLIC INSPECTION

USDA Rural Development

United States Department of Agriculture
Rural Development Utilities Programs - Data Collection System

Part E: Toll Data

Your response is required by 7 U.S.C. 901 et seq. and subject to federal laws and regulations regarding confidential information, will be treated as confidential.

- To add a row, click the 'Add New Row' button
- To delete a row, first highlight the row by clicking on the leftmost column (to the left of the 'ID Code' column), then press the 'Delete' key on your keyboard
- To save the data, click the 'Save' button

1. Study Area ID Code(s)

Please use six digit USAC STUDY AREA ID CODE for each separate study area.

Study Area ID Code
[redacted]
320818

2. Types of Toll Settlements (Check one)

Interstate:	Average Schedule	(•) Cost Basis
Intrastate:	Average Schedule	(•) Cost Basis

REDACTED-FOR PUBLIC INSPECTION

Add New Row

< Previous | Run Checks | Save | Next >

All errors must be corrected and all warnings must be explained before the form can be submitted for review.

Part E: Toll Data

Type	Check Key	Description	Explanation
No Errors or Warnings			

Cross Checks

Type	Check Key	Description	Explanation
No Errors or Warnings			

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USDA Rural Development

United States Department of Agriculture
Rural Development Utilities Programs - Data Collection System

HOME HELP PRINT LOGOUT CONTACT US

[illegible] (IN0503) OP REPORT TELECOM DECEMBER 2011

NAVIGATION

Part F: Funds Invested In Plant During Year

Your response is required by 7 U.S.C. 901 et seq. and subject to federal laws and regulations regarding confidential information, will be treated as confidential.

Complete the following fields, and press the 'Save' button when finished.

1. RUS, RTB, & FFB Loan Funds Expended	[redacted]
2. Other Long-Term Loan Funds Expended	
3. Funds Expended Under RUS Interim Approval	
4. Other Short-Term Loan Funds Expended	
5. General Funds Expended (Other than Interim)	[redacted]
6. Salvaged Materials	
7. Contribution in Aid to Construction	
8. Gross Additions to Telecom. Plant (1 thru 7)	[redacted]

< Previous Run Checks Save Next >

All errors must be corrected and all warnings must be explained before the form can be submitted for review.

Part F: Funds Invested In Plant During Year

Type	Check Key	Description	Explanation
No Errors or Warnings			

Cross Checks

Type	Check Key	Description	Explanation
No Errors or Warnings			

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USDA Rural Development

United States Department of Agriculture
Rural Development Utilities Programs - Data Collection System

HOME HELP PRINT LOGOUT CONTACT US

[illegible] COMMUNICATIONS, INC. [illegible] OP. REPORT-TELECOM [illegible]

NAVIGATION

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Part G: Investments In Affiliated Companies

Your response is required by 7 U.S.C. 901 et seq. and subject to federal laws and regulations regarding confidential information, will be treated as confidential.

Complete the following fields, and press the 'Save' button when finished.

	Current Year Data		Cumulative Data		
Investments	Investment This Year	Income/Loss This Year	Cumulative Investment To Date	Cumulative Income/Loss To Date	Current Balance
(a)	(b)	(c)	(d)	(e)	(f)
1. Investment in Affiliated Companies - Rural Development	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]
2. Investment in Affiliated Companies - Nonrural Development	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]

< Previous Run Checks Save Next >

[illegible] Version 2.0 [illegible]

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USDA Rural Development

United States Department of Agriculture
Rural Development Utilities Programs - Data Collection System

HOME HELP PRINT LOGOUT CONTACT US

NAVIGATION

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Notes

Part H: Current Depreciation Rates

Your response is required by 7 U.S.C. 901 et seq. and subject to federal laws and regulations regarding confidential information, will be treated as confidential.

Complete the following fields, and press the 'Save' button when finished.

Are corporation's depreciation rates approved by the regulatory authority with jurisdiction over the provision of telephone services? (Check one) (•) Yes ( ) No

Equipment Category	Depreciation Rate (%)
1. Land and support assets - Motor Vehicles	[redacted]%
2. Land and support assets - Aircraft	%
3. Land and support assets - Special purpose vehicles	%
4. Land and support assets - Garage and other work equipment	[redacted]%
5. Land and support assets - Buildings	[redacted]%
6. Land and support assets - Furniture and Office equipment	[redacted]%
7. Land and support assets - General purpose computers	[redacted]%
8. Central Office Switching - Digital	[redacted]%
9. Central Office Switching - Analog & Electro-mechanical	%
10. Central Office Switching - Operator Systems	%
11. Central Office Transmission - Radio Systems	%
12. Central Office Transmission - Circuit Equipment	[redacted]%
13. Information origination/termination - Station apparatus	%
14. Information origination/termination - Customer premises wiring	%
15. Information origination/termination - Large private branch exchanges	%
16. Information origination/termination - Public telephone terminal equipment	%
17. Information origination/termination - Other terminal equipment	%
18. Cable and wire facilities - Poles	[redacted]%
19. Cable and wire facilities - Aerial cable - Metal	[redacted]%
20. Cable and wire facilities - Aerial cable - Fiber	[redacted]%
21. Cable and wire facilities - Underground cable - Metal	[redacted]%
22. Cable and wire facilities - Underground cable - Fiber	[redacted]%
23. Cable and wire facilities - Buried cable - Metal	[redacted]%
24. Cable and wire facilities - Buried cable - Fiber	[redacted]%
25. Cable and wire facilities - Conduit systems	[redacted]%
26. Cable and wire facilities - Other	%

< Previous Run Checks Save Next >

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Part I: Statement of Cash Flows

Your response is required by 7 U.S.C. 901 et seq. and subject to federal laws and regulations regarding confidential information, will be treated as confidential.

Complete the following fields, and press the 'Save' button when finished.

1.	Beginning Cash (Cash and Equivalents plus RUS Construction Fund)	[redacted]
	CASH FLOWS FROM OPERATING ACTIVITIES	
2.	Net Income	[redacted]
	Adjustments to Reconcile Net Income to Net Cash Provided by Operating Activities	
3.	Add: Depreciation	[redacted]
4.	Add: Amortization	[redacted]
5.	Other *(Explain)* Adjust to confirm with 2011 year end audit Cash Flov	[redacted]
	Changes in Operating Assets and Liabilities	
6.	Decrease/(Increase) in Accounts Receivable	[redacted]
7.	Decrease/(Increase) in Materials and Inventory	[redacted]
8.	Decrease/(Increase) in Prepayments and Deferred Charges	[redacted]
9.	Decrease/(Increase) in Other Current Assets	[redacted]
10.	Increase/(Decrease) in Accounts Payable	[redacted]
11.	Increase/(Decrease) in Advance Billings & Payments	[redacted]
12.	Increase/(Decrease) in Other Current Liabilities	[redacted]
13.	Net Cash Provided/(Used) by Operations	[redacted]
	CASH FLOWS FROM FINANCING ACTIVITIES	
14.	Decrease/(Increase) in Notes Receivable	[redacted]
15.	Increase/(Decrease) in Notes Payable	[redacted]
16.	Increase/(Decrease) in Customer Deposits	[redacted]
17.	Net Increase/(Decrease) in Long Term Debt (including current maturities)	[redacted]
18.	Increase/(Decrease) in Other Liabilities & Deferred Credits	[redacted]
19.	Increase/(Decrease) in Capital Stock, Paid-in Capital, Membership and Capital Certificates & Other Capital	[redacted]
20.	Less:Payment of Dividends	[redacted]
21.	Less: Patronage Capital Credits Retired	[redacted]
22.	Other *(Explain)* Adjust to confirm with 2011 year end audit Cash Flov	[redacted]
23.	Net Cash Provided/(Used) by Financing Activities	[redacted]
	CASH FLOWS FROM INVESTING ACTIVITIES	
24.	Net Capital Expenditures (Property, Plant & Equipment)	[redacted]
25.	Other Long Term Investments	[redacted]
26.	Other Noncurrent Assets & Jurisdictional Differences	[redacted]
27.	Other *(Explain)* Adjust to confirm with 2011 year end audit Cash Flov	[redacted]
28.	Net Cash Provided/(Used) by Investing Activities	[redacted]
29.	Net Increase/(Decrease) in Cash	[redacted]
30.	Ending Cash	[redacted]

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USDA Rural Development

United States Department of Agriculture
Rural Development Utilities Programs - Data Collection System

NAVIGATION

Notes To The Operating Report For Telecommunications Borrowers

Your response is required by 7 U.S.C. 901 et seq. and subject to federal laws and regulations regarding confidential information, will be treated as confidential.

This space is provided for important notes to the Operating Report For Telecommunications Borrowers. Press the 'Save' button when finished.

Notes to Operating Report | Certification Loan Default Explanation

Paragraph Font Size Color

< Previous Run Checks Save

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USDA Rural Development

United States Department of Agriculture
Rural Development Utilities Programs - Data Collection System

HOME HELP PRINT LOGOUT CONTACT US

JAMES POLK - SMITHVILLE COMMUNICATIONS INC [IN0503] - OP REPORT TELECOM - DECEMBER 2012

NAVIGATION

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Part A: Balance Sheet

Your response is required by 7 U.S.C. 901 et seq. and subject to federal laws and regulations regarding confidential information, will be treated as confidential.
Complete the following fields, and press the 'Save' button when finished.
The Balance Prior Year figures have been brought forward from the December 2011 submission and cannot be edited here. If these figures need to be corrected please revise them in that submission and resubmit.

ASSETS	Balance Prior Year	Balance End of Period
CURRENT ASSETS		
1. Cash and Equivalents	[redacted]	[redacted]
2. Cash-RUS Construction Fund	[redacted]	[redacted]
3. Affiliates		
a. Telecom. Accounts Receivable		
b. Other Accounts Receivable	[redacted]	[redacted]
c. Notes Receivable		
4. Non-Affiliates		
a. Telecom. Accounts Receivable	[redacted]	[redacted]
b. Other Accounts Receivable		
c. Notes Receivable		
5. Interest and Dividends Receivable		
6. Material-Regulated	[redacted]	[redacted]
7. Material-Nonregulated		
8. Prepayments	[redacted]	[redacted]
9. Other Current Assets		
10. Total Current Assets (1 thru 9)	[redacted]	[redacted]
NONCURRENT ASSETS		
11. Investment in Affiliated Companies		
a. Rural Development		
b. Nonrural Development	[redacted]	[redacted]
12. Other Investments		
a. Rural Development		
b. Nonrural Development		
13. Nonregulated Investments	[redacted]	[redacted]
14. Other Noncurrent Assets	[redacted]	[redacted]
15. Deferred Charges		
16. Jurisdictional Differences		
17. Total Noncurrent Assets (11 thru 16)	[redacted]	[redacted]
PLANT, PROPERTY, AND EQUIPMENT		
18. Telecom. Plant-in-Service	[redacted]	[redacted]
19. Property Held for Future Use		
20. Plant Under Construction	[redacted]	[redacted]
21. Plant Adj., Nonop. Plant & Goodwill		
22. Less Accumulated Depreciation	[redacted]	[redacted]
23. Net Plant (18 thru 21 less 22)	[redacted]	[redacted]
24. Total Assets (10+17+23)	[redacted]	[redacted]

LIABILITIES AND STOCKHOLDERS' EQUITY	Balance Prior Year	Balance End of Period
CURRENT LIABILITIES		
25. Accounts Payable	[redacted]	[redacted]
26. Notes Payable		
27. Advance Billings and Payments	[redacted]	[redacted]
28. Customer Deposits		
29. Current Mat. L/T Debt	[redacted]	[redacted]
30. Current Mat. L/T Debt-Rur. Dev.		
31. Current Mat.-Capital Leases		
32. Income Taxes Accrued		
33. Other Taxes Accrued	[redacted]	[redacted]
34. Other Current Liabilities	[redacted]	[redacted]
35. Total Current Liabilities (25 thru 34)	[redacted]	[redacted]
LONG-TERM DEBT		
36. Funded Debt-RUS Notes	[redacted]	[redacted]
37. Funded Debt-RTB Notes		
38. Funded Debt-FFB Notes		
39. Funded Debt-Other		
40. Funded Debt-Rural Develop. Loan		
41. Premium (Discount) on L/T Debt		
42. Reacquired Debt		
43. Obligations Under Capital Lease		
44. Adv. From Affiliated Companies		
45. Other Long-Term Debt		
46. Total Long-Term Debt (36 thru 45)	[redacted]	[redacted]
OTHER LIABILITIES & DEF. CREDITS		
47. Other Long-Term Liabilities	[redacted]	[redacted]
48. Other Deferred Credits		
49. Other Jurisdictional Differences		
50. Total Other Liabilities and Deferred Credits (47 thru 49)	[redacted]	[redacted]
EQUITY		
51. Cap. Stock Outstand. & Subscribed	[redacted]	[redacted]
52. Additional Paid-in Capital		
53. Treasury Stock		
54. Membership and Cap. Certificates		
55. Other Capital	[redacted]	[redacted]
56. Patronage Capital Credits		
57. Retained Earnings or Margins	[redacted]	[redacted]
58. Total Equity (51 thru 57)	[redacted]	[redacted]
59. Total Liabilities and Equity (35+46+50+58)	[redacted]	[redacted]

Total Equity = 46.94 **% of Total Assets**

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Run Checks | Save | Next

All errors must be corrected and all warnings must be explained before the form can be submitted for review.

Mortgage Ratio Checks

Type	Check Key	Description	Explanation
No Errors or Warnings			

Part A: Balance Sheet

Type	Check Key	Description	Explanation
No Errors or Warnings			

Cross Checks

Type	Check Key	Description	Explanation
No Errors or Warnings			

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USDA Rural Development

United States Department of Agriculture
Rural Development Utilities Programs - Data Collection System

HOME HELP PRINT LOGOUT CONTACT US

JAMES POLK SMITHVILLE COMMUNICATIONS, INC (IN0503) OP REPORT-TELECOM DECEMBER 2012

NAVIGATION

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Part B: Statements of Income and Retained Earnings or Margins

Your response is required by 7 U.S.C. 901 et seq. and subject to federal laws and regulations regarding confidential information, will be treated as confidential.
Complete the following fields, and press the 'Save' button when finished.
The Prior Year figures have been brought forward from the December 2011 submission and cannot be edited here. If these figures need to be corrected please revise them in that submission and resubmit.

Item	Prior Year	This Year
1. Local Network Services Revenues	[redacted]	[redacted]
2. Network Access Services Revenues	[redacted]	[redacted]
3. Long Distance Network Services Revenues	[redacted]	[redacted]
4. Carrier Billing and Collection Revenues	[redacted]	
5. Miscellaneous Revenues	[redacted]	[redacted]
6. Uncollectible Revenues		
7. Net Operating Revenues (1 Thru 5 Less 6)	[redacted]	[redacted]
8. Plant Specific Operations Expense	[redacted]	[redacted]
9. Plant Nonspecific Operations Expense (Excluding Depreciation & Amortization)	[redacted]	[redacted]
10. Depreciation Expense	[redacted]	[redacted]
11. Amortization Expense		
12. Customer Operations Expense	[redacted]	[redacted]
13. Corporate Operations Expense	[redacted]	[redacted]
14. Total Operating Expenses (8 Thru 13)	[redacted]	[redacted]
15. Operating Income or Margins (7 less 14)	[redacted]	[redacted]
16. Other Operating Income and Expense		
17. State and Local Taxes	[redacted]	[redacted]
18. Federal Income Taxes		
19. Other Taxes	[redacted]	[redacted]
20. Total Operating Taxes (17+18+19)	[redacted]	[redacted]
21. Net Operating Income or Margins (15+16-20)	[redacted]	[redacted]
22. Interest on Funded Debt	[redacted]	[redacted]
23. Interest Expense - Capital Leases		
24. Other Interest Expense	[redacted]	[redacted]
25. Allowance for Funds Used During Construction	[redacted]	[redacted]
26. Total Fixed Charges (22+23+24-25)	[redacted]	[redacted]
27. Nonoperating Net Income	[redacted]	[redacted]
28. Extraordinary Items		
29. Jurisdictional Differences		
30. Nonregulated Net Income	[redacted]	[redacted]
31. Total Net Income or Margins (21+27+28+29+30-26)	[redacted]	[redacted]
32. Total Taxes Based on Income	[redacted]	[redacted]
33. Retained Earnings or Margins Beginning-of-Year	[redacted]	[redacted]
34. Miscellaneous Credits Year-to-Date		
35. Dividends Declared (Common)		
36. Dividends Declared (Preferred)		
37. Other Debits Year-to-Date	[redacted]	
38. Transfers to Patronage Capital		
39. Retained Earnings or Margins End-Of-Period [(31+33+34)-(35+36+37+38)]	[redacted]	[redacted]
40. Patronage Capital Beginning-of-Year		
41. Transfers to Patronage Capital		
42. Patronage Capital Credits Retired		
43. Patronage Capital End-Of-Year (40+41-42)		
44. Debt Service Payments for the period(principal interest on long term debt)	[redacted]	[redacted]
45. Cash Ratio [(14+20-10-11) / 7]	[redacted]	[redacted]
46. Operating Accrual Ratio [(14+20+26) / 7]	[redacted]	[redacted]
47. TIER [(31+26) / 26]	[redacted]	[redacted]

REDACTED-FOR PUBLIC INSPECTION

48. DSCR [(31+26+10+11) / 44]	4.7985	3.4413

< Previous | Run Checks | Save | Next >

All errors must be corrected and all warnings must be explained before the form can be submitted for review.

Mortgage Ratio Checks

Type	Check Key	Description	Explanation
No Errors or Warnings			

Part B: Statements of Income and Retained Earnings or Margins

Type	Check Key	Description	Explanation
No Errors or Warnings			

Cross Checks

Type	Check Key	Description	Explanation
No Errors or Warnings			

REDACTED-FOR PUBLIC INSPECTION

Part C: Subscriber (Access Line), Route Mile, & High Speed Data Information

Your response is required by 7 U.S.C. 901 et seq. and subject to federal laws and regulations regarding confidential information, will be treated as confidential.

- To add a row, click the 'Add New Exchange' button
- To modify a row, double click the row
- To delete a row, first highlight the row, then press the 'Delete' key on your keyboard
- To save the data, click the 'Save' button

	Exchange No. Exchanges	Subscribers (Access Lines) Total (c)	No. Access Lines with BB available (a)	No. of Broadband Subscribers (b)	Total (including fiber) (a)
1	Ellettsville	[redacted]	[redacted]	[redacted]	[redacted]
2	French Lick	[redacted]	[redacted]	[redacted]	[redacted]
3	Gosport	[redacted]	[redacted]	[redacted]	[redacted]
4	Griffin	[redacted]	[redacted]	[redacted]	[redacted]
5	Hymera	[redacted]	[redacted]	[redacted]	[redacted]
6	Lake Monroe	[redacted]	[redacted]	[redacted]	[redacted]
7	Lizton	[redacted]	[redacted]	[redacted]	[redacted]
8	Lyons	[redacted]	[redacted]	[redacted]	[redacted]
9	Owensburg	[redacted]	[redacted]	[redacted]	[redacted]
10	Sharpsville	[redacted]	[redacted]	[redacted]	[redacted]
11	Smithville	[redacted]	[redacted]	[redacted]	[redacted]
12	Stanford	[redacted]	[redacted]	[redacted]	[redacted]
13	Mobile Wireless				
14	Outside Exchange Area				

REDACTED-FOR PUBLIC INSPECTION

Total	[redacted]	[redacted]	[redacted]	[redacted]

Add New Exchange

< Previous | Run Checks | Save | Next >

All errors must be corrected and all warnings must be explained before the form can be submitted for review.

Part C: Subscriber (Access Line), Route Mile, & High Speed Data Information

Type	Check Key	Description	Explanation
No Errors or Warnings			

Cross Checks

Type	Check Key	Description	Explanation
No Errors or Warnings			

REDACTED-FOR PUBLIC INSPECTION

USDA Rural Development — United States Department of Agriculture
Rural Development Utilities Programs - Data Collection System

HOME HELP PRINT LOGOUT CONTACT US

[illegible] SMITHVILLE COMMUNICATIONS, INC. [illegible] OP REPORT-TELECOM [illegible]

NAVIGATION

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    - Point Of Contact
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    - Part B
    - Part C
    - Part D
    - Part E
    - Part F
    - Part G
    - Part H
    - Part I
    - Notes

Part D: System Data

Your response is required by 7 U.S.C. 901 et seq. and subject to federal laws and regulations regarding confidential information, will be treated as confidential.

Complete the following fields, and press the 'Save' button when finished.

1. No. Plant Employees	2. No. Other Employees	3. Square Miles Served	4. Access Lines per Square Mile	5. Subscribers per Route Mile
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]

< Previous Run Checks Save Next >

All errors must be corrected and all warnings must be explained before the form can be submitted for review.

Part D: System Data

Type	Check Key	Description	Explanation
No Errors or Warnings			

Cross Checks

Type	Check Key	Description	Explanation
No Errors or Warnings			

REDACTED-FOR PUBLIC INSPECTION

USDA Rural Development

United States Department of Agriculture
Rural Development Utilities Programs - Data Collection System

Part E: Toll Data

Your response is required by 7 U.S.C. 901 et seq. and subject to federal laws and regulations regarding confidential information, will be treated as confidential.

- To add a row, click the 'Add New Row' button
- To delete a row, first highlight the row by clicking on the leftmost column (to the left of the 'ID Code' column), then press the 'Delete' key on your keyboard
- To save the data, click the 'Save' button

1. Study Area ID Code(s)

Please use six digit USAC STUDY AREA ID CODE for each separate study area.

Study Area ID Code
320813
320818

2. Types of Toll Settlements (Check one)

Interstate:	○ Average Schedule	● Cost Basis
Intrastate:	○ Average Schedule	● Cost Basis

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Add New Row

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All errors must be corrected and all warnings must be explained before the form can be submitted for review.

Part E: Toll Data

Type	Check Key	Description	Explanation
No Errors or Warnings			

Cross Checks

Type	Check Key	Description	Explanation
No Errors or Warnings			

REDACTED-FOR PUBLIC INSPECTION

USDA Rural Development

United States Department of Agriculture
Rural Development Utilities Programs - Data Collection System

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JAMES POLK SMITHVILLE COMMUNICATIONS, INC (IN0503) OP REPORT-TELECOM DECEMBER 2012

Part F: Funds Invested In Plant During Year

Your response is required by 7 U.S.C. 901 et seq. and subject to federal laws and regulations regarding confidential information, will be treated as confidential

Complete the following fields, and press the 'Save' button when finished.

1. RUS, RTB, & FFB Loan Funds Expended	[redacted]
2. Other Long-Term Loan Funds Expended	
3. Funds Expended Under RUS Interim Approval	
4. Other Short-Term Loan Funds Expended	
5. General Funds Expended (Other than Interim)	[redacted]
6. Salvaged Materials	
7. Contribution in Aid to Construction	
8. Gross Additions to Telecom. Plant (1 thru 7)	[redacted]

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Part F: Funds Invested In Plant During Year

Type	Check Key	Description	Explanation
No Errors or Warnings			

Cross Checks

Type	Check Key	Description	Explanation
No Errors or Warnings			

REDACTED-FOR PUBLIC INSPECTION

USDA United States Department of Agriculture
Rural Development Utilities Programs - Data Collection System

Part G: Investments In Affiliated Companies

Your response is required by 7 U.S.C. 901 et seq. and subject to federal laws and regulations regarding confidential information, will be treated as confidential.

Complete the following fields, and press the 'Save' button when finished.

	Current Year Data			Cumulative Data	
Investments (a)	Investment This Year (b)	Income/Loss This Year (c)	Cumulative Investment To Date (d)	Cumulative Income/Loss To Date (e)	Current Balance (f)
1. Investment in Affiliated Companies - Rural Development	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]
2. Investment in Affiliated Companies - Nonrural Development	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]

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Part G: Investments In Affiliated Companies

Type	Check Key	Description	Explanation
No Errors or Warnings			

Cross Checks

Type	Check Key	Description	Explanation
No Errors or Warnings			

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USDA Rural Development

United States Department of Agriculture
Rural Development Utilities Programs - Data Collection System

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JAMES POLK SMITHVILLE COMMUNICATIONS, INC. [IN0503] OP REPORT TELECOM DECEMBER 2017

NAVIGATION

Part H: Current Depreciation Rates

Your response is required by 7 U.S.C. 901 et seq. and subject to federal laws and regulations regarding confidential information, will be treated as confidential.

Complete the following fields, and press the 'Save' button when finished.

Are corporation's depreciation rates approved by the regulatory authority with jurisdiction over the provision of telephone services? (Check one) ◉ Yes ○ No

Equipment Category	Depreciation Rate (%)
1. Land and support assets - Motor Vehicles	[redacted]%
2. Land and support assets - Aircraft	%
3. Land and support assets - Special purpose vehicles	%
4. Land and support assets - Garage and other work equipment	[redacted]%
5. Land and support assets - Buildings	[redacted]%
6. Land and support assets - Furniture and Office equipment	[redacted]%
7. Land and support assets - General purpose computers	[redacted]%
8. Central Office Switching - Digital	[redacted]%
9. Central Office Switching - Analog & Electro-mechanical	%
10. Central Office Switching - Operator Systems	%
11. Central Office Transmission - Radio Systems	%
12. Central Office Transmission - Circuit Equipment	[redacted]%
13. Information origination/termination - Station apparatus	%
14. Information origination/termination - Customer premises wiring	%
15. Information origination/termination - Large private branch exchanges	%
16. Information origination/termination - Public telephone terminal equipment	%
17. Information origination/termination - Other terminal equipment	%
18. Cable and wire facilities - Poles	[redacted]%
19. Cable and wire facilities - Aerial cable - Metal	[redacted]%
20. Cable and wire facilities - Aerial cable - Fiber	[redacted]%
21. Cable and wire facilities - Underground cable - Metal	[redacted]%
22. Cable and wire facilities - Underground cable - Fiber	[redacted]%
23. Cable and wire facilities - Buried cable - Metal	[redacted]%
24. Cable and wire facilities - Buried cable - Fiber	[redacted]%
25. Cable and wire facilities - Conduit systems	[redacted]%
26. Cable and wire facilities - Other	%

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Part H: Current Depreciation Rates

Type	Check Key	Description	Explanation
No Errors or Warnings			

Cross Checks

Type	Check Key	Description	Explanation
No Errors or Warnings			

REDACTED-FOR PUBLIC INSPECTION

Part I: Statement of Cash Flows

Your response is required by 7 U.S.C. 901 et seq. and subject to federal laws and regulations regarding confidential information, will be treated as confidential.

Complete the following fields, and press the 'Save' button when finished.

1.	Beginning Cash (Cash and Equivalents plus RUS Construction Fund)	[redacted]
	CASH FLOWS FROM OPERATING ACTIVITIES	
2.	Net Income	[redacted]
	Adjustments to Reconcile Net Income to Net Cash Provided by Operating Activities	
3.	Add: Depreciation	[redacted]
4.	Add: Amortization	[redacted]
5.	Other *(Explain)* Adjust to confirm with audited 2012 Cash Flow form	[redacted]
	Changes in Operating Assets and Liabilities	
6.	Decrease/(Increase) in Accounts Receivable	[redacted]
7.	Decrease/(Increase) in Materials and Inventory	[redacted]
8.	Decrease/(Increase) in Prepayments and Deferred Charges	[redacted]
9.	Decrease/(Increase) in Other Current Assets	[redacted]
10.	Increase/(Decrease) in Accounts Payable	[redacted]
11.	Increase/(Decrease) in Advance Billings & Payments	[redacted]
12.	Increase/(Decrease) in Other Current Liabilities	[redacted]
13.	Net Cash Provided/(Used) by Operations	[redacted]
	CASH FLOWS FROM FINANCING ACTIVITIES	
14.	Decrease/(Increase) in Notes Receivable	[redacted]
15.	Increase/(Decrease) in Notes Payable	[redacted]
16.	Increase/(Decrease) in Customer Deposits	[redacted]
17.	Net Increase/(Decrease) in Long Term Debt (including current maturities)	[redacted]
18.	Increase/(Decrease) in Other Liabilities & Deferred Credits	[redacted]
19.	Increase/(Decrease) in Capital Stock, Paid-in Capital, Membership and Capital Certificates & Other Capital	[redacted]
20.	Less: Payment of Dividends	[redacted]
21.	Less: Patronage Capital Credits Retired	[redacted]
22.	Other *(Explain)* Adjust to confirm with audited 2012 Cash Flow form	[redacted]
23.	Net Cash Provided/(Used) by Financing Activities	[redacted]
	CASH FLOWS FROM INVESTING ACTIVITIES	
24.	Net Capital Expenditures (Property, Plant & Equipment)	[redacted]
25.	Other Long-Term Investments	[redacted]
26.	Other Noncurrent Assets & Jurisdictional Differences	[redacted]
27.	Other *(Explain)* Adjust to confirm with audited 2012 Cash Flow form	[redacted]
28.	Net Cash Provided/(Used) by Investing Activities	[redacted]
29.	Net Increase/(Decrease) in Cash	[redacted]
30.	Ending Cash	[redacted]

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Part I: Statement of Cash Flows

Type	Check Key	Description	Explanation
No Errors or Warnings			

Cross Checks

Type	Check Key	Description	Explanation
No Errors or Warnings			